

## ALASA Sentiment Analysis Evidence Case: UBS stock 8<sup>th</sup>& 9<sup>th</sup> of November 2018

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Market Sentiment: Generating Alpha

Emilio Tommasi  
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UBS Will Contest RMBS Civil Complaint Filed by United States Department of Justice  
UBS is confident in its legal position, based on the facts and the law. UBS will contest the complaint vigorously in the interest of its shareholders. UBS invested USD 100 billion in U.S. residential mortgage-related assets and lost more than USD 45 billion when the housing market collapsed, including ...  
UBS, UBSGE, UBSN • Fri, Nov 9 • Business Wire **6.2%**

UBS Will Contest RMBS Civil Complaint Filed by United States Department of Justice  
UBS Group AG (NYSE:UBS) Intraday Stock Chart Today : Friday 9 November 2018 UBS is confident in its legal position, based on the facts and the law  
Regulatory News: The United States Department of Justice ("DOJ") today filed a civil complaint in the U.S. District Court for the Eastern District of New ...  
UBS • Fri, Nov 9 • InvestorsHub **6.1%**

US sues UBS, alleging fraud in financial crisis  
US authorities on Thursday sued Swiss banking giant UBS, accusing the European lender of fraud in the sale of mortgage-backed securities prior to the global financial crisis. "Investors who bought RMBS from UBS suffered catastrophic losses, which not only caused direct harm to those investors but also ...  
OQP5, CS, CSGN, UBS, UBSGE • Thu, Nov 8 • Agence France Presse **10.1%**

Justice Department sues UBS over mortgage bonds before the recession  
The Justice Department said it's suing UBS over the sale of residential mortgage-backed securities from 2006 through 2007, just before the Great Recession hit. "The fraudulent actions by UBS as alleged in the complaint contributed to the 2008 financial crisis, which resulted in lasting economic harm ...  
UBS, UBSGE • Thu, Nov 8 • MarketWatch **9.4%**

Q3 2018 Siltronic AG Earnings Call - Final  
OPERATOR: Hello, everyone, and welcome to Siltronic's conference call on our Q3 results 2018. Please note that this call has been recorded and also streamed on Siltronic's website. PETRA MULLER, DIRECTOR OF IR& COMMUNICATIONS, SILTRONIC AG: Thank you, operator, and welcome, everybody, to Siltronic's ...  
UBS Asset Management (UK) Limited, Mainfirst Bank Aktiengesellschaft, 5483, 6488, BWB, DBK, INFO, TPE, TXN, UBS, WAF • Thu, Nov 8 • CQ Transcriptions LLC **1.7%**

18:09  
14/11/2018

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J.P. Morgan Asset Management S, BK, BLC, BX, CG, CFM, WS, UBS, UBSGE • Thu, Nov 8 • Business Wire

UBS eyes new legal battle in US over subprime crisis  
Swiss banking giant UBS said Thursday that it was bracing for another legal battle in the US over sales of mortgage-backed securities in the run-up to the financial crisis a decade ago. "UBS anticipates that the complaint will seek unspecified monetary civil penalties" over transactions that date back ...  
OQP5, CS, CSGN, UBS, UBSGE • Thu, Nov 8 • Agence France Presse **6.4%**

Credit Suisse ordered to pay former broker  
An arbitration panel has ordered Credit Suisse to compensate one of its former brokers. The broker, Nicholas Brine Finn, is now an advisor at UBS AG. After shuttering its US wealth management business, Credit Suisse entered into an exclusive recruiting arrangement with Wells Fargo and told its advisors ...  
CS, CSGN, UBS, UBSG, UBSGE, WFC • Thu, Nov 8 • M2 Communications **6.3%**

United States Courts Opinions: United States District Court Western District of ALIFORNIA: ARDEN FAIR ASSOCIATES, L.P., a California Limited Partnership, Plaintiff, v. SEARS, ROEBUCK AND CO., a New York Corporation; SRC FACILITIES LLC, a Delaware Limited Liability Company; WELLS FARGO BANK, N.A., a national banking association; UBS A.G., a Switzerland foreign stock corporation; SRC COMMERCIAL MORTGAGE TRUST 2003-A, Defendants.  
Washington: United States District Court Western District of ALIFORNIA has issued the following order: SEARS, ROEBUCK AND CO., a New York Corporation; SRC FACILITIES LLC, a Delaware Limited Liability Company; WELLS FARGO BANK, N.A., a national banking association; UBS A.G., a Switzerland foreign stock ...  
Sears Roebuck & Company, Wells Fargo Bank, NA, EOD, EXIV, SHLD, UBS, UBSN, WFC • Thu, Nov 8 • Gale Group **2.2%**

UBS Anticipates United States Department of Justice Will File Civil Complaint Relating to RMBS  
UBS will contest the matter and is confident in its legal position, based on the facts and the law. UBS has been advised by the United States Department of Justice that the DOJ intends to file a civil complaint as early as November 8, 2018 related to UBS's issuance, underwriting and sale of residential ...  
UBS, UBSGE, UBSN • Thu, Nov 8 • Business Wire **3.2%**

Wall Street weighs in on the midterm elections

18:11  
14/11/2018

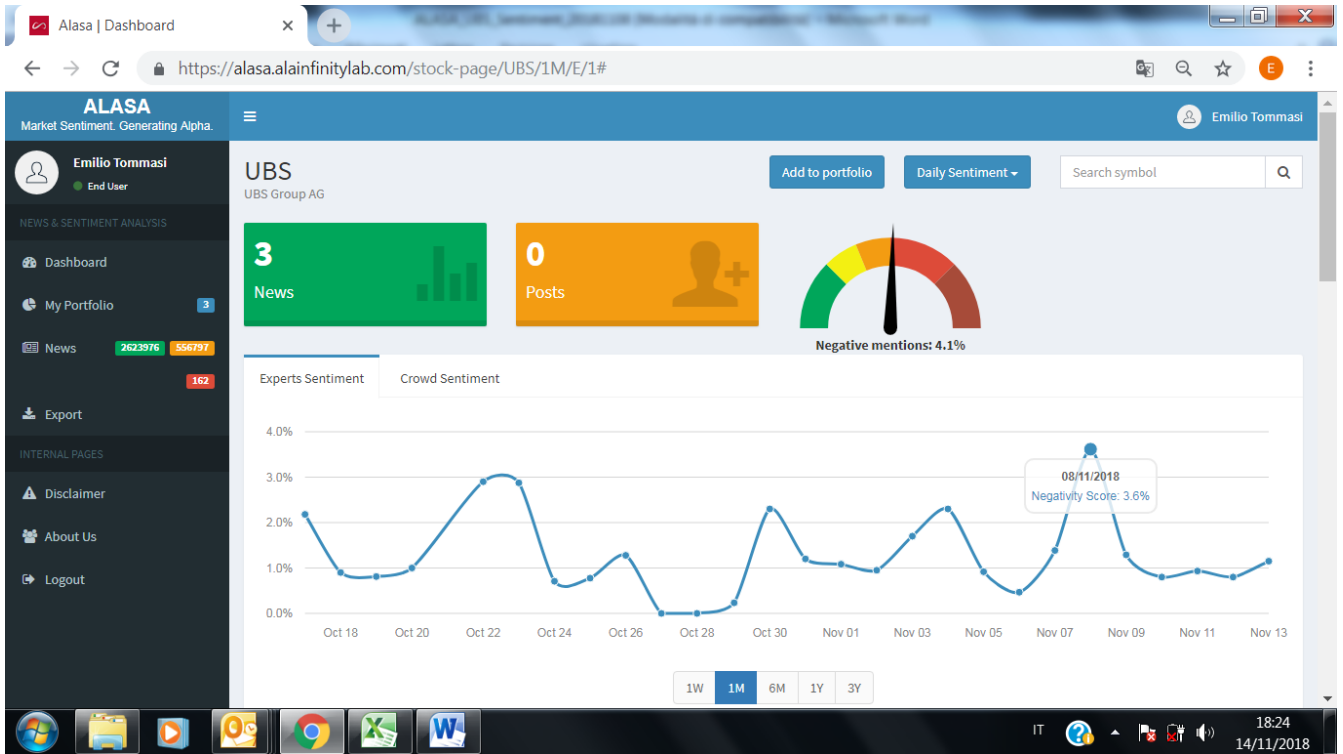
### UBS News with Negative Sentiment Score on 8<sup>th</sup> of November 2018

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## UBS Negative Score Value 8<sup>th</sup> of November 2018



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## UBS chart on 9<sup>th</sup> of November 2018

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